# Indiana Rules of Court **Rules of Appellate Procedure**

. . .

#### **Rule 2. Definitions**

In these Rules, the following definitions apply:

• • •

- P. Case Management System ("CMS"). Case Management System is the system of networked software and hardware used by any Indiana court that may receive, organize, store, retrieve, transmit, and display all relevant documents in any case before it.
- **Q.** Conventional Filing. Conventional Filing is the physical non-electronic presentation of documents to the Clerk or Court.
- **R.** Electronic Filing ("E-Filing"). E-Filing is a method of filing documents with the clerk of any Indiana court by electronic transmission utilizing the Indiana E-Filing System. E-Filing does not include transmission by facsimile or by email.
- **S.** E-Filing Manager ("EFM"). E-Filing Manager is the centralized entity approved by the Supreme Court that receives and transmits all E-Filing submissions between E-Filing Service Provider(s) and the appropriate CMS.
- T. E-Filing Service Provider ("EFSP"). E-Filing Service Provider is the organization and software selected by a User and approved by the Supreme Court to receive and transmit all E-Filing submissions between the User and the Indiana E-Filing System.
- U. Electronic Service ("E-Service"). E-Service is a method of serving documents by electronic transmission on any User in a case via the Indiana E-Filing System.
- V. Indiana E-Filing System ("IEFS"). Indiana E-Filing System is the system of networked hardware, software, and service providers approved by the Supreme Court for the filing and service of documents via the Internet, into the CMS(s) used by Indiana courts.
- W. Notice of Electronic Filing ("NEF"). Notice of Electronic Filing is the notice generated automatically when a document is submitted and transmitted through the IEFS, which sets forth the time of transmission, the name of the Court, User, party, attorney, trial court clerk, or Administrative Agency transmitting the document, the title of the document, the type of document, and the name of the Court, attorney, party, or other person meant to receive the Notice. The time noted in an NEF will be the time at the location of the court where the case is pending. An NEF will appear immediately on the User's screen upon submission of the document for E-Filing.
- X. Public Access Terminal. A Public Access Terminal is a publicly accessible computer provided by a clerk or court that allows a member of the public to access the IEFS and public court records.
- Y. User Agreement. A User Agreement is an agreement in a form approved by the Division of State Court Administration that establishes obligations and responsibilities of the User within the IEFS.
- **Z.** User. User is a Registered User or Filing User.
  - (1) **Filing User.** Filing Users include court and clerk staff, unrepresented litigants, attorneys, or an agent whom an attorney has expressly designated to make a filing on the attorney's behalf and who has an IEFS user ID, password, and limited authority to file documents electronically.
- (2) **Registered User.** A Registered User is a person or entity with a user ID and password assigned by the IEFS or its designee who is authorized to use the IEFS for the electronic filing or service of documents.
- AA. Service Contacts. A Service Contact is a person for whom an email address and other identifying information has been entered into the IEFS by a Registered User.
  - (1) **Firm Service Contact.** A Firm Service Contact is a Service Contact associated in the IEFS with an attorney, organization, or law firm.
  - (2) Public Service Contact. A Public Service Contact is a Service Contact who is listed on the Public Service List for purposes of E-Service. A Registered User may add a Service Contact to the Public Service List only if authorized by the Service Contact.

(3) **Public Service List.** The Public Service List is a directory of Public Service Contacts who are available for E-Service.

. . .

## Rule 9. Initiation Of The Appeal

## A. Procedure for Filing the Notice of Appeal with the Clerk of the Indiana Supreme Court, Court of Appeals and Tax Court.

- (1) Appeals from Final Judgments. A party initiates an appeal by conventionally filing a Notice of Appeal with the Clerk (as defined in Rule 2(D)) within thirty (30) days after the entry of a Final Judgment is noted in the Chronological Case Summary. However, if any party files a timely motion to correct error, a Notice of Appeal must be conventionally filed within thirty (30) days after the court's ruling on such motion is noted in the Chronological Case Summary or thirty (30) days after the motion is deemed denied under Trial Rule 53.3, whichever occurs first.
- (2) Interlocutory Appeals. The initiation of interlocutory appeals is covered in Rule 14.
- (3) Administrative Appeals. A judicial review proceeding taken directly to the Court of Appeals from an order, ruling, or decision of an Administrative Agency is commenced by <u>conventionally</u> filing a Notice of Appeal with the Clerk within thirty (30) days after the date of the order, ruling or decision, notwithstanding any statute to the contrary.
- (4) Abolition of Praecipe. The praecipe for preparation of the Record is abolished.
- (5) Forfeiture of Appeal. Unless the Notice of Appeal is timely filed, the right to appeal shall be forfeited except as provided by P.C.R. 2.

[Grace Period: Effective **until January 1, 2014**, if an appellant timely files the Notice of Appeal with the trial court clerk or the Administrative Agency, instead of the Clerk as required by App. R. 9(A)(1), the Notice of Appeal will be deemed timely filed and the appeal will not be forfeited.]

- **B. Death Penalty Cases.** When a trial court imposes a death sentence, it shall on the same day sentence is imposed, order the Court Reporter and trial court clerk to begin immediate preparation of the Record on Appeal.
- **C. Joint Appeals.** If two (2) or more persons are entitled to appeal from a single judgment or order, they may proceed jointly by <u>conventionally</u> filing a joint Notice of Appeal. The joined parties may, thereafter, proceed on appeal as a single appellant.
- **D. Cross-Appeals.** An appellee may cross-appeal without filing a Notice of Appeal by raising cross-appeal issues in the appellee's brief. A party must file a Notice of Appeal to preserve its right to appeal if no other party appeals.
- **E. Payment of Filing Fee.** The appellant shall pay to the Clerk the filing fee of \$250. No filing fee is required in an appeal prosecuted in *forma pauperis* or on behalf of a governmental unit. The filing fee shall be paid to the Clerk when the Notice of Appeal is filed. The Clerk shall not file any motion or other documents in the proceedings until the filing fee has been paid. A party may proceed on appeal in *forma pauperis* pursuant to Rule 40.
- **F. Content of Notice of Appeal.** The Notice of Appeal shall include the following:
  - (1) Party Information.
    - (a) Name and address of the parties initiating the appeal, and if a party is not represented by counsel, the party's FAX number, telephone number, and electronic mail address, if any;
    - (b) Name, address, attorney number, FAX number (if any), telephone number and electronic mail address of each attorney representing the parties initiating the appeal; and
    - (c) Certification that the contact information listed on the Indiana Supreme Court Roll of Attorneys for each attorney is current and accurate as of the date of the Notice of Appeal is filed (Attorneys can review and update their Roll of Attorneys contact information on the Indiana Courts Portal;
    - (ed) Acknowledgement that all orders, opinions, and notices in the matter will be sent to the email address(es) specified by the attorney on the Roll of Attorneys regardless of the contact information listed on the Notice of Appeal; and
    - (fe) Acknowledgment that each attorney listed on the Notice of Appeal is solely responsible for keeping his/her Roll of Attorneys contact information accurate per Ind. Admis. Disc. R. 2(A).

• • •

(5) Request for Transcript. A designation of all portions of the Transcript necessary to present fairly and decide the issues on appeal. If the appellant intends to urge on appeal that a finding of fact or conclusion thereon is unsupported by the evidence or is contrary to the evidence, the Notice of Appeal shall request a Transcript of all the evidence. In Criminal Appeals, the Notice of Appeal must request the Transcript of the entire trial or evidentiary hearing, unless the party intends to limit the appeal to an issue requiring no Transcript. The appellant must include the email address of the Court Reporter and must send by electronic transmission to the Court Reporter the Notice of Appeal.

## Rule 14. Interlocutory Appeals

- **A. Interlocutory Appeals of Right.** Appeals from the following interlocutory orders are taken as a matter of right by <u>conventionally</u> filing a Notice of Appeal with the Clerk within thirty (30) days after the notation of the interlocutory order in the Chronological Case Summary:
  - (1) For the payment of money;
  - (2) To compel the execution of any document;
  - (3) To compel the delivery or assignment of any securities, evidence of debt, documents or things in action;
  - (4) For the sale or delivery of the possession of real property;
  - (5) Granting or refusing to grant, dissolving, or refusing to dissolve a preliminary injunction;
  - (6) Appointing or refusing to appoint a receiver, or revoking or refusing to revoke the appointment of a receiver;
  - (7) For a writ of habeas corpus not otherwise authorized to be taken directly to the Supreme Court;
  - (8) Transferring or refusing to transfer a case under Trial Rule 75; and
  - (9) Issued by an Administrative Agency that by statute is expressly required to be appealed as a mandatory interlocutory appeal.

The Notice of Appeal shall be in the form prescribed by Rule 9, and served in accordance with Rule 9(F)(10).

- **B. Discretionary Interlocutory Appeals.** An appeal may be taken from other interlocutory orders if the trial court certifies its order and the Court of Appeals accepts jurisdiction over the appeal.
  - (1) Certification by the Trial Court. The trial court, in its discretion, upon motion by a party, may certify an interlocutory order to allow an immediate appeal.
    - (a) Time for Filing Motion. A motion requesting certification of an interlocutory order must be filed in the trial court within thirty (30) days after the date the interlocutory order is noted in the Chronological Case Summary unless the trial court, for good cause, permits a belated motion. If the trial court grants a belated motion and certifies the appeal, the court shall make a finding that the certification is based on a showing of good cause, and shall set forth the basis for that finding.
    - (b) Content of the Motion in the Trial Court. A motion to the trial court shall contain the following:
      - (i) An identification of the interlocutory order sought to be certified;
      - (ii) A concise statement of the issues to be addressed in the interlocutory appeal; and
      - (iii) The reasons why an interlocutory appeal should be permitted.
    - (c) Grounds for Granting Interlocutory Appeal. Grounds for granting an interlocutory appeal include:
      - (i) The appellant will suffer substantial expense, damage or injury if the order is erroneous and the determination of the error is withheld until after judgment.
      - (ii) The order involves a substantial question of law, the early determination of which will promote a more orderly disposition of the case.
      - (iii) The remedy by appeal is otherwise inadequate.

- (d) Response to Motion. Any response to a motion for the trial court to certify an interlocutory order shall be filed within fifteen (15) days after service of the motion, and computing time in accordance with Trial Rule 6
- (e) Ruling on Motion by the Trial Court. In the event the trial court fails for thirty (30) days to set the motion for hearing or fails to rule on the motion within thirty (30) days after it was heard or thirty (30) days after it was filed, if no hearing is set, the motion requesting certification of an interlocutory order shall be deemed denied.
- (2) Acceptance of the Interlocutory Appeal by the Court of Appeals. If the trial court certifies an order for interlocutory appeal, the Court of Appeals, in its discretion, upon motion by a party, may accept jurisdiction of the appeal. The motion shall be accompanied by an appearance as required by Rule 16(H).
  - (a) Time for Filing Motion in the Court of Appeals. The motion requesting that the Court of Appeals accept jurisdiction over an interlocutory appeal shall be <u>conventionally</u> filed within thirty (30) days after the date the trial court's certification is noted in the Chronological Case Summary.
  - (b) Content of the Motion in the Court of Appeals. The motion requesting that the Court of Appeals accept jurisdiction shall state:
    - (i) The date of the interlocutory order.
    - (ii) The date the motion for certification was filed in the trial court.
    - (iii) The date the trial court's certification of its interlocutory order was noted in the Chronological Case Summary.
    - (iv) The reasons the Court of Appeals should accept this interlocutory appeal.
  - (c) <u>Submissions with to Motion.</u> The party seeking an interlocutory appeal shall attach to submit with its motion a copy of the trial court's certification of the interlocutory order and a copy of the interlocutory order.
  - (d) Response to Motion. Any response to a motion requesting the Court of Appeals to accept jurisdiction shall be filed within fifteen (15) days after service of the motion.
- (3) Filing of Notice of Appeal. The appellant shall <u>conventionally</u> file a Notice of Appeal with the Clerk within fifteen (15) days of the Court of Appeals' order accepting jurisdiction over the interlocutory appeal. The Notice of Appeal shall be in the form prescribed by Rule 9, and served in accordance with Rule 9(F)(10). The appellant shall also comply with Rule 9(E).
- **C.** Interlocutory Appeals From Orders Granting Or Denying Class Action Certification. The Court of Appeals, in its discretion, may accept jurisdiction over an appeal from an interlocutory order granting or denying class action certification under Ind. Trial Rule 23.
  - (1) Time for Filing Motion. A motion requesting that the Court of Appeals accept jurisdiction over an interlocutory appeal from an order granting or denying class action certification shall be <u>conventionally</u> filed within thirty (30) days after the notation of the order in the Chronological Case Summary. The Motion shall be accompanied by an appearance as required by Rule 16(H).
  - (2) Content of Motion. The motion requesting that the Court of Appeals accept jurisdiction shall state:
    - (a) The date the order granting or denying class action certification was noted in the Chronological Case Summary.
    - (b) The facts necessary for consideration of the motion.
    - (c) The reasons the Court of Appeals should accept the interlocutory appeal.
  - (3) Attachments Submissions with to Motion. A copy of t The trial court's order granting or denying class action certification shall be attached submitted with to the motion requesting that the Court of Appeals accept jurisdiction over the interlocutory appeal.
  - (4) Response to Motion. Any response to the motion requesting the Court of Appeals to accept jurisdiction shall be filed within fifteen (15) days after service of the motion.
  - (5) Filing of Notice of Appeal. The appellant shall file a Notice of Appeal with the Clerk within fifteen (15) days of the Court of Appeals' order accepting jurisdiction over the interlocutory appeal. The Notice of Appeal shall be in the form prescribed by Rule 9, and served in accordance with Rule 9(F)(10). The appellant shall also comply with Rule 9(E).

Rule 14.1. Expedited Appeal for Payment of Placement and/or Services

**A. Applicability.** This Rule governs appellate review per Indiana Code sections 31-34-4-7(f), 31-34-19-6.1(f), 31-37-5-8(g), and 31-37-18-9(d). All other appeals concerning children alleged to be in need of service or children alleged to be delinquent are not covered by this rule.

## B. Notice of Expedited Appeal.

- (1) The Department of Child Services ("DCS") shall <u>conventionally</u> file a Notice of Expedited Appeal with the Clerk within five (5) business days after the trial court's order of placement and/or services is noted in the Chronological Case Summary. (See Form #App.R. 9-1).
- (2) On the same day DCS <u>conventionally</u> files the Notice of Expedited Appeal, it shall serve the Notice on the trial court judge, the clerk of the trial court, the Court Reporter (if a transcript, or any portion of a transcript is requested), the county commissioners, the guardian ad litem, CASA, any juvenile who is the subject of the order if 14 years of age or older, counsel for the juvenile, the parents of the juvenile, the Attorney General, in the case of a juvenile delinquency matter the Chief Probation Officer and Prosecutor, and any other party of record.
- (3) The Notice of Expedited Appeal shall include all content required by Rule 9(F).
- (4) The certificate of service attached to the Notice of Expedited Appeal shall include (a) the name and address, and (b) the FAX number and e-mail address if known, of every person to whom it was sent.
- (5) Any party who has received the Notice of Expedited Appeal shall have five (5) business days from service of the Notice of Expedited Appeal to file an Appearance and request any additional other items to be included in the record. Failure to file an Appearance shall remove that party from the Appeal.
- (6) The trial court shall be considered a party to the Appeal if it files a timely appearance.

## C. Transcript and Record.

- (1) The completion of the Transcript and the Record on Appeal shall take priority over all other appeal transcripts and records. Within ten (10) business days after the filing of the Notice of Appeal is noted in the Chronological Case Summary, the assembly of the Clerk's Record shall be completed and any requested transcript shall be prepared and filed, after which the clerk shall immediately issue and file a Notice of Completion of Clerk's Record (and a separate Notice of Completion of Transcript if assembly of the Clerk's Record is completed before the transcript is filed) and shall immediately serve all parties to the Appeal by both: (i) U.S. mail or third-party commercial carrier; and (ii) personal service, electronic mail, or facsimile.
- (2) The Clerk's Record in appeals governed by this rule shall contain the pre-dispositional report and any attachments thereto, in addition to the other records listed in Appellate Rule 2(E). The trial court clerk is not obligated to index or marginally annotate the Clerk's Record, which shall be the responsibility of DCS.
- (3) On the eleventh (11th) business day following the filing of the transcript, the trial court clerk shall transmit the transcript to the Clerk without any further notice from the Clerk. Failure to meet this deadline shall require the trial court clerk to show cause to the Court on Appeal why he or she should not be held in contempt. DCS may, but is not required to, file a show cause motion with the Court on Appeal concerning the trial court clerk's failure to meet this deadline.

#### D. Memoranda.

- (1) Any party on Appeal may file a memorandum, which may be in narrative form and need not contain the sections under separate headings listed in Appellate Rule 46(a).
- (2) Memoranda shall not exceed ten (10) pages unless limited to 4,200 words and shall adhere to the requirements of Appellate Rules 43(A)-(G), (J), and (K). Memoranda exceeding ten (10) pages in length shall contain the word count certification required by Appellate Rule 44(F). Any factual statement shall be supported by a citation to a page where it appears in the record.
- (3) DCS shall have five (5) business days from the notation in the Chronological Case Summary of the filing of the Notice of Completion of Transcript (or the Notice of Completion of Clerk's Record if a transcript was not requested) to file a memorandum stating why the trial court's decision should be reversed. DCS's memorandum shall be accompanied by an Appendix that shall contain copies of all relevant pleadings, motions, orders, entries, and other papers filed, tendered for filing, or entered by the trial court, including but not limited to the pre-dispositional report and all attachments thereto.

- (4) Any responding party shall have five (5) business days after DCS has filed its memorandum to file a responsive memorandum stating why the decision should be sustained or reversed, and to file any accompanying supplemental Appendix.
- (5) No reply memorandum shall be allowed.
- (6) A party shall file its original Memorandum and eight (8) copies.
- E. Extensions of Time. Extensions of time are not allowed.
- F. Rehearing on Appeal. A party may not seek rehearing of an appellate decision issued under this rule.
- **G. Outcome of Appeal.** If DCS prevails on appeal, payment shall be made in accordance with Indiana Code sections 31-34-4-7(g), 31-34-19-6.1(g), 31-37-5-8(h), or 31-37-18-9(e), as the case may be.
- **H. Petition to Transfer.** A Petition to Transfer must be filed no later than five (5) business days after the adverse decision of the Court of Appeals. A party who files a Petition to Transfer by mail or third-party commercial carrier shall also contemporaneously tender a copy to the Clerk's Office via facsimile. The Petition to Transfer shall adhere to the requirements of Appellate Rules 43(A)-(G), (J), and (K). Appellate Rules 43(H) and (I), 44, and 57 shall not apply. The Petition to Transfer shall not exceed one (1) page in length, excluding the signature block and certificate of service, and shall notify the Supreme Court simply of the party's desire for the Supreme Court to assume jurisdiction over the appeal following the adverse decision of the Court of Appeals. A file-stamped copy of the Court of Appeals' opinion or memorandum decision shall be attached to submitted with the Petition to Transfer. No brief in response shall be allowed. The Supreme Court will consider the merits of the Petition to Transfer based on the party's filings submitted to the Court of Appeals and on the Court of Appeals' opinion or memorandum decision.
- I. Certification of Opinion. The Clerk shall certify the Court of Appeals' opinion or memorandum decision six (6) business days after it is handed down unless a timely Petition to Transfer has been filed and served in accordance with the preceding section. The Clerk shall certify any opinion of the Supreme Court immediately upon issuance.
- **J. Service.** If a party provides service Service, if by mail or third-party commercial carrier <u>pursuant to Rule 68(F)(2)</u>, then the party, shall also <u>provide service be</u> by contemporaneous fax or email on all parties whose FAX number or e-mail address is known by the serving party. Parties who are served by contemporaneous FAX or e-mail shall not be entitled to the extension of time set forth in Appellate Rule 25(C). Any party filing an appearance after documents have been served shall promptly be served with all documents not previously provided to the later-appearing party.

## Rule 16. Appearances

. . .

- **B. Responding Parties.** All other parties participating in an appeal shall file an appearance form with the Clerk. (See Form # App.R. 16-1). When the State is appellee in a Criminal Appeal, the Clerk shall enter the appearance of the Attorney General. The appearance form shall be filed within thirty (30) days after the filing of the Notice of Appeal or contemporaneously with the first document filed by the appearing party, whichever comes first. The appearance form shall contain the following:
  - (1) Name and address of the appearing party, and if the appearing party is not represented by counsel, the party's FAX number, telephone number, and electronic mail address, if any;
  - (2) Name, address, attorney number, telephone number, FAX number (if any), and electronic mail address of the attorneys representing the parties; and
  - (3) If it is a civil case, whether Appellee is willing to participate in Appellate ADR;
  - (4) Certification that the contact information listed on the Indiana Supreme Court Roll of Attorneys for each attorney is current and accurate as of the date of the Notice of Appeal Appearance is filed (Attorneys can review and update their Roll of Attorneys contact information on the Clerk of Indiana Courts Portal at <a href="http://appealselerk.in.gov">http://appealselerk.in.gov</a>);
  - (5) Acknowledgment that all orders, opinions, and notices in the matter will be sent to the email address(es) specified by the attorney on the Roll of Attorneys regardless of the contact information listed on the Notice of Appeal Appearance; and
  - (6) Acknowledgment that each attorney listed on the Notice of Appeal Appearance is solely responsible for keeping his/her Roll of Attorneys contact information accurate per Ind. Admis. Disc. R. 2(A).

. . .

**E. Correction of Information.** Parties shall promptly advise the Clerk of any change in the information previously supplied under this Rule and Rule 9. Attorneys whose contact information changes shall immediately update their contact information on the Indiana Supreme Court Roll of Attorneys using the website designated by the Supreme Court for this purpose.

. . .

**H. Appearances in Certain Interlocutory Appeals.** In the case of an Interlocutory Appeal under Rules 14(B)(2) or 14(C), a party shall <u>conventionally</u> file an appearance setting forth the information required by Rule 16(B) at the time the motion requesting the Court on Appeal to accept jurisdiction over the interlocutory appeal is filed. (See Form # App. R. 16-2).

. .

#### Rule 22. Citation Form

Unless otherwise provided, a current edition of a Uniform System of Citation (Bluebook) shall be followed.

**A. Citation to Cases.** All Indiana cases shall be cited by giving the title of the case followed by the volume and page of the regional and official reporter (where both exist), the court of disposition, and the year of the opinion, e.g., *Callender v. State*, 193 Ind. 91, 138 N.E. 817 (1922); *Moran v. State*, 644 N.E.2d 536 (Ind. 1994). If the case is not contained in the regional reporter, citation may be made to the official reporter. Where both a regional and official citation exist and pinpoint citations are appropriate, pinpoint citations to one of the reporters shall be provided. Designation of disposition of petitions for transfer shall be included, e.g., *State ex rel. Mass Transp. Auth. of Greater Indianapolis v. Indiana Revenue Bd.*, 144 Ind. App. 63, 242 N.E.2d 642 (1968), *trans. denied by an evenly divided court* 251 Ind. 607, 244 N.E.2d 111 (1969); *Smith v. State*, 717 N.E.2d 127 (Ind. Ct. App. 1999), *trans. denied*.

## B. Citations to Indiana Statutes, Regulations, Court Rules and County Local Court Rules.

1. Citations to Indiana statutes, administrative materials, and court rules shall comply with the following citation format for initial references and subsequent references:

Ind. Code § 34-1-1-1 (20 xx) 34 Ind. Admin. Code 12-5-1 (2004) 29 Ind. Reg. 11 (Oct. 1, 2005) Ind. Trial Rule 56 Ind. Crim. Rule 4(B)(1)

Ind. Post-Conviction Rule 2(2)(b)

Ind. Appellate Rule 8

Ind. Original Action Rule 3(A)
Ind. Child Support Rule 2
Ind. Child Support Guideline 3(D)

Ind. Small Claims Rule 8(A)

Ind. Tax Court Rule 9

Ind. Administrative Rule 7(A)
Ind. Judicial Conduct Rule 2.1
Ind. Professional Conduct Rule 6.1

Ind. Alternative Dispute Resolution Rule 2

Ind. Admission and Discipline Rule 23(2)(a)

Ind. Evidence Rule 301 Ind. Jury Rule 12

## **SUBSEQUENT**

I.C. § 34-1-1-1 34 I.A.C. 12-5-1 29 I.R. 11 T.R. 56

Crim. R. 4(B)(1) P-C.R. 2(2)(b)

App. R. 8

Orig. Act. R. 3(A) Child Supp. R. 2 Child Supp. G. 3(D)

S.C.R. 8(A)

Tax Ct. R. 9 Admin. R. 7(A) Jud. Cond. R. 2.1 Prof. Cond. R. 6.1

A.D.R. 2

Admis. Disc. R. (2)(a)

Evid. R. 301 J.R. 12 Effective July 1, 2006, the Indiana Administrative Code and the Indiana Register are published electronically by the Indiana Legislative Services Agency. For materials published in the Indiana Administrative Code and Indiana Register prior to that date, use the citation forms set forth above. For materials published after that date, reference to the appropriate URL is necessary for a reader to locate the official versions of these materials. The following citation format for initial references and subsequent references shall be used for materials published in the Indiana Administrative Code and Indiana Register on and after July 1, 2006:

Initial: 34 Ind. Admin. Code 12-5-1 (2006) (see http://www.in.gov/legislative/iae/)

Subsequent: 34 I.A.C. 12-5-1

Initial: Ind. Reg. LSA Doc. No. 05-0065 (July 26, 2006) (see http://www.in.gov/legislative/register/irtoc.htm)

Subsequent: I.R. 05-0065

- 2. Citations to County Local Court Rules adopted pursuant to Ind. Trial Rule 81 shall be cited by giving the county followed by the citation to the local rule, e.g. Adams LR01-TR3.1-1.
- **C. References to the Record on Appeal.** Any factual statement shall be supported by a citation to the <u>volume and</u> page where it appears in an Appendix, and if not contained in an Appendix, to the <u>volume and</u> page it appears in the Transcript or exhibits, e.g., Appellant's App. <u>Vol. II.</u> p.5; Tr. <u>Vol. I.</u> p. 231-32. Any record material cited in an appellate brief must be reproduced in an Appendix or the Transcript or exhibits. Any record material cited in an appellate brief that is also included in an Addendum to Brief should include a citation to the Appendix or Transcript and to the Addendum to Brief.
- **D. References to Parties.** References to parties by such designations as "appellant" and "appellee" shall be avoided. Instead, parties shall be referred to by their names, or by descriptive terms such as "the employee," "the injured person," "the taxpayer," or "the school."
- **E. Abbreviations.** The following abbreviations may be used without explanation in citations and references: Addend. (addendum to brief), App. (appendix), Br. (brief), CCS (chronological case summary), Ct. (court), Def. (defendant), Hr. (hearing), Mem. (memorandum), Pet. (petition), Pl. (plaintiff), Supp. (supplemental), Tr. (Transcript).

## Rule 23. Filing

- **A. Time for Filing.** <u>Documents exempted from E-Filing under Rule 68</u> <u>All papers</u> will be deemed filed with the Clerk when they are:
  - (1) personally delivered to the Clerk (which, when the Clerk's Office is open for business, shall mean personally tendering the documents to the Clerk or the Clerk's designee; and at all other times (unless the Clerk specifies otherwise) shall mean properly depositing the documents into the "rotunda filing drop box" located in the vestibule of the east second-floor entrance to the State House);
  - (2) deposited in the United States Mail, postage prepaid, properly addressed to the Clerk; or
  - (3) deposited with any third-party commercial carrier for delivery to the Clerk within three (3) calendar days, cost prepaid, properly addressed.

<u>Documents not exempted from E-Filing under Rule 68 will be deemed E-Filed with the Clerk, subject to payment of all applicable fees, on the date and time reflected in the Notice of Electronic Filing. See Appellate Rule 68(I).</u>

- **B. Clerk's Functions.** All functions performed by the Clerk are ministerial and not discretionary. The court retains the authority to determine compliance with these Rules.
- C. <u>Documents Tendered with Motions Seeking Leave to File.</u> When a document tendered with a motion is ordered filed by the Court, any time limit for a response to that document shall run from the date on which the document is filed. The Clerk shall notify all parties of the date on which any document is deemed filed by the Court. Number of Copies. The following shall be filed:
- (1) Notice of Appeal. An original and one (1) copy of the Notice of Appeal.
- (2) Appearances. An original and one (1) copy of any appearance.
- (3) Motions.
- (a) An original and one (1) copy of a motion for extension of time, a motion to withdraw the record, a motion to withdraw appearance, and a motion to file an oversize document.

- (b) An original and five (5) copies of all other motions and supporting documents, of all responses and supporting documents, and of all replies and supporting documents.
- (4) Briefs, Addenda to Briefs, Petitions, Additional Authorities. An original and eight (8) copies of all briefs, Addenda to Briefs, Petitions to Transfer, Petitions for Rehearing, Petitions for Review and notices of additional authorities.
- (5) Authorization or Affidavit In Forma Pauperis Proceedings. An original and (1) copy of the trial court authorization to proceed in forma pauperis, or an affidavit that the party was permitted to proceed in forma pauperis in the trial court. See Rule 40.
- (6) Appendices. One (1) copy of any Appendix. See Rule 50.
- (7) Notices by the trial court clerk or Administrative Agency. One (1) original of the Notice of Completion of Clerk's Record and Notice of Completion of Transcript. See Rules 10(C) and (D).
- (8) Acknowledgement of Oral Argument. An original and one (1) copy of any acknowledgement of the order setting oral argument. See Rule 52(C).
- (9) Administrative Rule 9(G)(5) Notices. An original and one (1) copy of any Notice that must be filed per Administrative Rule 9(G)(5).
- (10) Other Documents. An original and five (5) copies of all other documents filed with the Clerk.
- **D.** Received but not Filed. When the Clerk accepts any document as received but not filed, any time limit for response or reply to that document shall run from the date on which the document is filed. The Clerk shall notify all parties of the date on which any received document is subsequently filed.
- **E. Signature required.** Every motion, petition, brief, appendix, acknowledgment, notice, response, reply, or appearance must be signed by at least one [1] attorney of record in the attorney's individual name, whose name, address, telephone number, and attorney number shall also be typed or printed legibly below the signature. If a party or amicus is not represented by an attorney, then the party or amicus shall sign such documents and type or print legibly the party or amicus's name, address, and telephone number. The signing of the verification of accuracy required by Rule 50(A)(2)(i) or 50(B)(1)(f) satisfies this requirement for appendices. <u>E-Filed documents submitted through the IEFS shall comply with Rule 68(H).</u>

## F. Confidentiality of Court Records on Appeal.

- (1) Court Records are accessible to the public, except as provided in Administrative Rule 9(G).
- (2) If a Court Record was excluded from Public Access in the trial court in accordance with Administrative Rule 9(G), the Court Record shall remain excluded from Public Access on appeal unless the Court on Appeal determines the conditions in Administrative Rule 9(G)(7) are satisfied.
- (3) <u>Procedures for Excluding Court Records from Public Access on Appeal.</u> Any Court Record excluded from Public Access on appeal must be filed in accordance with <u>Administrative Rule 9(G)(5).</u>the following procedures:
  - (a) Notice to maintain exclusion from Public Access.
    - (i) In cases where the Court Record is excluded from Public Access pursuant to Administrative Rule 9(G)(2), 9(G)(3), or 9(G)(4), the party or person submitting the confidential record must provide the separate written notice required by Administrative Rule 9(G)(5)(a) identifying the specific 9(G)(2) or 9(G)(3) ground(s) upon which exclusion is based. (See Form # App.R. 11-5)
    - (ii) In cases where all Court Records are excluded from Public Access in accordance with Administrative Rule 9(G)(1), no notice of exclusion from Public Access is required.
  - (b) Public Access and Non-Publics Access Versions. Where only a portion of the Court Record has been excluded from Public Access pursuant to Administrative Rule 9(G)(2) or 9(G)(3), the following requirements apply:
    - (i) Public Access Version.
      - a. If an appellate filing contains confidential Court Records to be excluded from Public Access, the confidential Court Record shall be omitted or redacted from this version.
      - b. The omission or redaction shall be indicated at the place it occurs in the Public Access version. If multiple pages are omitted, a separate place keeper insert must be inserted for each omitted page to keep PDF page numbering consistent throughout.
      - c. If the entire document is to be excluded from Public Access, the Administrative Rule 9(G)(5)(a) Notice filed with the document will serve as the Public Access Version.
    - (ii) Non-Public Access Version.

- a. If the omitted or redacted Court Record is not necessary to the disposition of the case on appeal, the excluded Court Record need not be filed or tendered in any form and only the Public Access version is required. The Administrative Rule 9(G)(5)(a) Notice should indicate this fact. (See Form # App.R. 11-6)
- b. If the omitted or redacted Court Record is necessary to the disposition of the case, the excluded Court Record must be separately filed or tendered as follows.
  - 1. The first page of the Non-Public Access Version should be conspicuously marked "Not for Public Access" or "Confidential," with the caption and number of the case clearly designated.
  - 2. The separately filed Non-Public Access version shall consist of a complete, consecutively paginated replication including both the Public Access material and the Non-Public Access material.
  - 3. Use of green paper is abolished for E-Filing. Pages in the Non-Public Access version containing Court Records that are excluded from Public Access shall instead be identified with a header, label, or stamp that states, "CONFIDENTIAL PER A.R. 9(G)" or "EXCLUDED FROM PUBLIC ACCESS PER A.R. 9(G)."

(iii) The requirements in Rule 23(F)(3)(b) do not apply to cases in which all Court Records are excluded from Public Access pursuant to Administrative Rule 9(G)(1).

## (4) E-Filing document security codes settings.

- (a) Where only a portion of the Court Record has been excluded from Public Access pursuant to Administrative Rule 9(G)(2) or 9(G)(3), the E-Filing document security codes setting for the Public Access Version shall be "Public Document."
- (b) Where only a portion of the Court Record has been excluded from Public Access pursuant to Administrative Rule 9(G)(2) or 9(G)(3), the E-Filing document security codes setting for the Non-Public Access Version shall be "Confidential document under Admin. Rule 9."
- (c) In cases in which all Court Records are excluded from Public Access pursuant to Administrative Rule 9(G)(1), the E-Filing document security codes setting shall be "Confidential document under Admin. Rule 9."

#### Rule 24. Service Of Documents

## A. Required Service.

- (1) Notice of Appeal. A party filing a Notice of Appeal shall contemporaneously serve a copy upon:
  - (a) all parties of record in the trial court or Administrative Agency;
  - (b) the clerk of the trial court or Administrative Agency;
  - (c) the Court Reporter, and also serve the Court Reporter by electronic transmission;
  - (d) any persons identified in Rule 14.1, if applicable;
  - (e) the Attorney General in all Criminal Appeals and any appeals from a final judgment declaring a state statute unconstitutional in whole or in part;
  - (f) the judge of the trial court or hearing officer of an Administrative Agency before whom the case was heard; and,
  - (g) any other persons required by statute to be served.

(See Form # App.R. 9-1).

- (2) Documents filed in the thirty-day period following the filing of Notice of Appeal. A party filing any document in the thirty-day period after a Notice of Appeal is filed shall contemporaneously serve a copy upon:
  - (a) all parties of record in the trial court or Administrative Agency;
  - (b) all parties of record who have filed a Notice of Appeal or an appearance with the Clerk;
  - (c) any persons seeking party status, and,
  - (d) any persons required by statute to be served.
- (3) Other documents. Unless otherwise provided by these Rules, all other documents tendered to the Clerk for filing must contemporaneously be served upon:
  - (a) all parties of record who have filed a Notice of Appeal or an appearance with the Clerk;
  - (b) any persons seeking party status; and,

- (c) any persons required by statute to be served.
- (4) *Appendix in Criminal Appeals*. In criminal appeals only, any Appendix or Supplemental Appendix <u>that is conventionally filed</u> need not be served on the Attorney General. <u>Appendices or Supplemental Appendices that are E-Filed in criminal appeals</u>, however, shall be served on the Attorney General.
- B. Time for Service. A party shall serve a document no later than the date the document is filed or received for filing.
- **C. Manner and Date of Service.** All <u>E-Filed documents papers</u> will be deemed served when they are <u>electronically</u> served through the IEFS in accordance with Rule 68(F)(1). Documents exempted from E-Service will be deemed served when they are:
  - (1) personally delivered;
  - (2) deposited in the United States Mail, postage prepaid, properly addressed; or
  - (3) deposited with any third-party commercial carrier for delivery within three (3) calendar days, cost prepaid, properly addressed.

Parties appealing pursuant to Rule 14.1 must comply with the additional requirements found in that Rule.

## D. Certificate of Service.

- (1) Content. Anyone tendering a document to the Clerk for filing shall:
  - (a) certify that service has been made;
  - (b) specifically list the persons served by name;
  - (c) specify the date and means of service;
  - (d) include any information required by Rule 14.1, if applicable; and,
  - (e) if the document is a Notice of Appeal, certify the date on which the Notice of Appeal was filed with the Clerk. (See Form # App.R. 9-1).

(2) *Placement*. The certificate of service shall be placed at the end of the document and shall not be separately filed. The separate filing of a certificate of service, however, shall not be grounds for rejecting a document for filing.

## . . .

## Rule 26. Electronic Transmission By Clerk

- **A.** Transmission of Orders, Opinions, and Notices to Parties Not Exempted from E-Filing Represented by Attorneys. The Clerk shall electronically transmit orders, opinions, and notices by electronic mail to all parties represented by attorneys to all parties and attorneys of record who are not exempted pursuant to Rule 68(C)(2) from the requirement that they file electronically.
- B. Transmission of Orders, Opinions, and Notices to Unrepresented Parties Exempted from E-Filing. The Clerk shall transmit orders, opinions, and notices by regular U.S. mail or personal delivery to parties and attorneys exempted from the requirement that they file electronically, see Rule 68(C)(2), all unrepresented parties unless the party or attorney requests electronic mail transmission or FAX transmission. A request to receive electronic mail or FAX transmission must be in writing, provide the electronic mail address or FAX number at which transmission is to be made, and be signed by the unrepresented exempted party or attorney making the request. A party requesting electronic mail or FAX transmission may request either, but not both.
- C. Clerk's Functions. When transmission is made by electronic mail, the Clerk shall retain a copy of the sent electronic mail as a record of transmission. When transmission is made by FAX, the Clerk shall retain the machine-generated transmission log as a record of transmission. The Clerk may, without notice, discontinue FAX transmission if the Clerk determines FAX transmission is not practicable. When transmittal is made by electronic mail or FAX, no other transmission will be made.

#### . .

#### Rule 31. Statement Of Evidence When No Transcript Is Available

**A. Party's Statement of Evidence.** If no Transcript of all or part of the evidence is available, a party or the party's attorney may prepare a verified statement of the evidence from the best available sources, which may include the party's

or the attorney's recollection. The party shall then file a motion to certify the statement of evidence with the trial court or Administrative Agency. The statement of evidence shall be attached to submitted with the motion.

. . .

#### **Rule 34. Motion Practice**

**A.** Use of Motion. Unless a statute or these Rules provide another form of application, a request for an order or for other relief shall be made by filing a motion in writing.

. .

## Rule 41. Motion To Appear As Amicus Curiae

- **A. Content.** A proposed amicus curiae shall file a motion to appear as an *amicus curiae*. The motion shall identify the interest of the proposed *amicus curiae* and the party with whom the proposed *amicus curiae* is substantively aligned, and it shall state the reasons why an *amicus curiae* brief would be helpful to the court.
- **B. Time for Filing.** The proposed *amicus curiae* shall file its motion to appear within the time allowed the party with whom the proposed *amicus curiae* is substantively aligned to file its brief or Petition. If an entity has been granted leave to appear as an *amicus curiae* in a case before the Court of Appeals or the Tax Court, that entity need not again seek leave to appear as an *amicus curiae* in any continuation of that case before the Supreme Court.
- **C. Tender of Brief.** The proposed *amicus curiae* shall tender or file its *amicus curiae* brief within the time allowed the party with whom the proposed *amicus curiae* is substantively aligned by submitting it with its motion to appear as *amicus curiae*, except that if an entity has been granted leave to appear as *amicus curiae* in a case before the Court of Appeals or Tax Court, then that entity shall file any briefing pertaining to a petition to transfer jurisdiction or for review to the Supreme Court within the time allowed the party with whom the proposed *amicus curiae* is substantively aligned.
- **D. Belated Filing.** The court may permit the belated filing of an *amicus curiae* brief on motion for good cause. If the court grants the motion, the court shall set a deadline for any opposing party to file a reply brief.
- **E.** *Amicus Curiae* **Appendix and Addendum to Brief.** An entity granted *amicus curiae* status may not file an Appendix or Addendum to the Brief containing documents that are not within the Record on Appeal unless leave to do so has been first granted.

## Rule 42. Motion To Strike

Upon motion made by a party within the time to respond to a document, or if there is no response permitted, within thirty (30) days after the service of the document upon it, or at any time upon the court's own motion, the court may order stricken from any document any redundant, immaterial, impertinent, scandalous or other inappropriate matter.

## Rule 43. Form Of Briefs And Petitions

- **A. Applicability.** This Rule governs the form of briefs, Petitions for Rehearing (Rule 54), Petitions to Transfer to the Supreme Court (Rule 57), and Petitions for Review of a Tax Court decision (Rule 63) by the Supreme Court.
- **B.** Page Size Paper. The pages size shall be 8 1/2 by 11 inch es. Conventionally filed documents shall use white paper of a weight normally used in printing and typing.
- **C. Production.** The document shall be produced in a neat and legible manner using black <u>typeprint</u>. It may be typewritten, printed or produced by a word processing system. It may be copied by any copying process that produces a <u>distinct black image on white paper</u>. For conventionally filed documents, text shall appear on only one side of the paper.
- **D. Print Size.** The font shall be Arial, Baskerville, Book Antigua, Bookman, Bookman Old Style, Century, Century Schoolbook, Courier, Courier New, CG Times, Garamond, Georgia, New Baskerville, New Century Schoolbook, Palatino or Times New Roman and the typeface shall be 12-point or larger in both body text and footnotes.
- **E. Spacing.** All text shall be double-spaced except that footnotes, tables, charts, or similar material and text that is blocked and indented shall be single-spaced. Single-spaced lines shall be separated by at least 4-point spaces.
- **F.** Numbering. The pages shall be numbered at the bottom All pages of the brief, including the front page (see Rule 43(I)), table of contents, and table of authorities, shall be consecutively numbered at the bottom beginning with numeral one.
- **G.** Margins. All four margins for the text of the document shall be at least one (1) inch from the edge of the page.
- **H.** Page Headers. Each page, except for the front page, of the document shall contain a header that lists the name of the party(ies) filing the document and the document name (e.g., "Brief of Appellant Acme Co." or "Appellee John Doe's Brief in Response to Petition to Transfer"). The header shall be aligned at the left margin of the document. Cover Colors. The document shall have a front and back cover in the following colors:

- Appellant's Brief and Appendix: Blue.
- Appellee's Brief and Appendix: Red.
- Any reply brief (except as provided below): Gray.
- Brief of intervenor or amicus curia: Green.
- Petition for Rehearing: White.
- Brief in response to a Petition for Rehearing: White.
- Petition to Transfer or for Review: Orange.
- Brief in response to a Petition seeking Transfer or Review: Yellow.
- Reply brief to brief in response to a Petition seeking Transfer or Review: Tan.
- CoverFront Page Content. The front page cover of the document shall conform substantially to Form #App.R. 43-1.
- **J. Binding.** Conventionally filed The documents shall be bound with a single staple or binder clip. They shall not be bound in book or pamphlet form along the left margin. Any binding process which permits the document to lie flat when open is preferred.

**K.** Copy of Document in Electronic Format. All documents may be accompanied by a copy of the document in electronic format. Any electronic format used by the word processing system to generate the document is permissible.

. . .

## Rule 46. Arrangement And Contents Of Briefs

- **A. Appellant's Brief.** The appellant's brief shall contain the following sections under separate headings and in the following order:
  - (1) *Table of Contents*. The table of contents shall list each section of the brief, including the headings and subheadings of each section and the page on which they begin.
  - (2) *Table of Authorities.* The table of authorities shall list each case, statute, rule, and other authority cited in the brief, with references to each page on which it is cited. The authorities shall be listed alphabetically or numerically, as applicable.
  - (3) Statement of Supreme Court Jurisdiction. When an appeal is taken directly to the Supreme Court, the brief shall include a brief statement of the Supreme Court's jurisdiction to hear the direct appeal.
  - (4) Statement of Issues. This statement shall concisely and particularly describe each issue presented for review.
  - (5) Statement of Case. This statement shall briefly describe the nature of the case, the course of the proceedings relevant to the issues presented for review, and the disposition of these issues by the trial court or Administrative Agency. Page references to the Record on Appeal or Appendix are required in accordance with Rule 22(C).
  - (6) *Statement of Facts*. This statement shall describe the facts relevant to the issues presented for review but need not repeat what is in the statement of the case.
    - (a) The facts shall be supported by page references to the Record on Appeal or Appendix in accordance with Rule 22(C).
    - (b) The facts shall be stated in accordance with the standard of review appropriate to the judgment or order being appealed.
    - (c) The statement shall be in narrative form and shall not be a witness by witness summary of the testimony.
    - (d) In an appeal challenging a ruling on a post-conviction relief petition, the statement may focus on facts from the post-conviction relief proceeding rather than on facts relating to the criminal conviction.
  - (7) Summary of Argument. The summary should contain a succinct, clear, and accurate statement of the arguments made in the body of the brief. It should not be a mere repetition of the argument headings.
  - (8) *Argument*. This section shall contain the appellant's contentions why the trial court or Administrative Agency committed reversible error.

- (a) The argument must contain the contentions of the appellant on the issues presented, supported by cogent reasoning. Each contention must be supported by citations to the authorities, statutes, and the Appendix or parts of the Record on Appeal relied on, in accordance with Rule 22.
- (b) The argument must include for each issue a concise statement of the applicable standard of review; this statement may appear in the discussion of each issue or under a separate heading placed before the discussion of the issues. In addition, the argument must include a brief statement of the procedural and substantive facts necessary for consideration of the issues presented on appeal, including a statement of how the issues relevant to the appeal were raised and resolved by any Administrative Agency or trial court.
- (c) Each argument shall have an argument heading. If substantially the same issue is raised by more than one asserted error, they may be grouped and supported by one argument.
- (d) If the admissibility of evidence is in dispute, citation shall be made to the pages of the Transcript where the evidence was identified, offered, and received or rejected, in conformity with Rule 22(C).
- (e) When error is predicated on the giving or refusing of any instruction, the instruction shall be set out verbatim in the argument section of the brief with the verbatim objections, if any, made thereto.
- (9) *Conclusion*. The conclusion shall include a precise statement of the relief sought and the signature of the attorney and *pro se* party.
- (10) Appealed Judgment or Order. The brief shall include an written opinion, memorandum of decision or findings of fact and conclusions thereon relating to the issues raised on appeal. When sentence is at issue in a criminal appeal, the brief shall contain a copy of the sentencing order.
- (11) Word Count Certificate (if necessary). See Rule 44(F).
- (1211) Certificate of Service. See Rule 24(D).
- (12) Appealed Judgment or Order. Any written opinion, memorandum of decision or findings of fact and conclusions thereon relating to the issues raised on appeal shall be submitted with the brief. When sentence is at issue in a criminal appeal, the sentencing order shall be submitted with the brief. These documents shall be contained within conventionally filed briefs, and shall be tendered with briefs filed in conformity with the IEFS.
- B. Appellee's Brief. The Appellee's Brief shall conform to Section A of this Rule, except as follows:
  - (1) Agreement with Appellant's Statements. The appellee's brief may omit the statement of Supreme Court jurisdiction, the statement of issues, the statement of the case, and the statement of facts if the appellee agrees with the statements in the appellant's brief. If any of these statements is omitted, the brief shall state that the appellee agrees with the appellant's statements.
  - (2) Argument. The argument shall address the contentions raised in the appellant's argument.
  - (3) Rule  $46(A)(\frac{10}{12})$ . Items listed in Rule  $46(A)(\frac{10}{12})$  may be omitted.
- **C. Appellant's Reply Brief.** The appellant may file a reply brief responding to the appellee's argument. No new issues shall be raised in the reply brief. The reply brief shall contain a table of contents, table of authorities, summary of argument, argument, conclusion, word count certificate, if needed, and certificate of service. See Rule 24(D).

#### D. Cross-Appeals.

- (1) Designation of Parties in Cross-Appeals. When both parties have filed a Notice of Appeal, the plaintiff in the trial court or Administrative Agency shall be deemed the appellant for the purpose of this Rule, unless the parties otherwise agree or the court otherwise orders. When only one party has filed a Notice of Appeal, that party is the appellant, even if another party raises issues on cross-appeal.
- (2) *Appellee's Brief*. The Appellee's Brief shall contain any contentions the appellee raises on cross-appeal as to why the trial court or Administrative Agency committed reversible error.
- (3) Appellant's Reply Brief. The Appellant's Reply Brief shall address the arguments raised on cross-appeal.
- (4) *Cross-Appellant's Reply Brief.* The Cross-Appellant's Reply Brief may only respond to that part of the appellant's reply brief addressing the appellee's cross-appeal.
- (5) *Scope of Reply Briefs*. No new issues shall be raised in a reply brief. A reply brief under this section shall contain a table of contents, table of authorities, summary of argument, argument, conclusion, word count certificate, if needed, and certificate of service. See Rule 24(D).

#### E. Brief of Amicus Curiae.

- (1) *Preparation*. An amicus curiae brief shall include a table of contents, table of authorities, a brief statement of the interest of the amicus curiae, summary of argument, argument, conclusion, word count certificate, if needed, and certificate of service. See Rule 24(D).
- (2) Avoiding Repetition. Before completing the preparation of an amicus curiae brief, counsel for an amicus curiae shall attempt to ascertain the arguments that will be made in the brief of any party whose position the amicus curiae is supporting to avoid repetition or restatement of those arguments in the amicus curiae brief.
- F. Appendix. Appendices shall be separately bound submitted. See Rule 51.
- **G.** Cases with Multiple Appellants or Appellees. In cases involving more than one appellant or appellee, including cases consolidated for appeal, each party may file a separate brief, more than one party may join in any single brief, or a party may adopt by reference any part of any brief of any party.
- **Addendum to Brief.** Any party or any entity granted amicus curiae status may elect to file a separately bound Addendum to Brief. An Addendum to Brief is not required and is not recommended in most cases. An Addendum to Brief is a highly selective compilation of materials filed with a party's brief at the option of the submitting party. Note that only one copy of the Appendix is filed (see Rule 23(C)(6)), but an original and eight copies of any Addendum to Brief must be filed, in accordance with Rule 23(C)(4). If an Addendum to Brief is submitted, it must be filed and served at the time of the filing and service of the brief it accompanies. An Addendum to Brief may include, for example, copies of key documents from the Clerk's Record or Appendix (such as contracts), or exhibits (such as photographs or maps), or copies of critically important pages of testimony from the Transcript, or full text copies of statutes, rules, regulations, etc. that would be helpful to the Court on Appeal but which, for whatever reason, cannot be conveniently or fully reproduced in the body of the brief. An Addendum to Brief may not exceed fifty (50) pages in length and should ordinarily be much shorter in length. The first document in the Addendum to Brief shall be a table of contents, and documents contained in the Addendum to Brief should be indexed or numbered in some manner that facilitates finding the documents referred to therein, preferably with indexed tabs. The Addendum to Brief shall be bound in book form along the left margin, preferably in a manner that permits the volume to lie flat when opened. The Addendum to Brief shall have a cover-front page that is the same color and similarly styled similarly as to the brief it accompanies (see Form App. 43-1), except that it shall be clearly identified as an Addendum to Brief, and the first document in the Addendum to Brief shall be a table of contents. An Addendum to Brief may not contain argument. All pages of the Addendum to Brief, including the front page (see Rule 43(I)) and table of contents, shall be consecutively numbered at the bottom beginning with numeral one; however, the front page, table of contents, and certificate of service shall not be included in the fifty (50) page length limit of this rule.

#### Rule 47. Amendedment Of Briefs And Petitions

On motion for good cause, the Court may grant leave for a party to file an amended a-brief or Petition. The motion shall describe the nature of and reason for the proposed amendmentamended brief or Petition. The movant shall either tender with the motion the sufficient copies of an amended brief or Petition titled as such on the front page (the cover of which shall indicate that it is amended) with its motion or request permission to retrieve the original and all copies of the brief or Petition filed with the Clerk and substitute amended pages. Except as the Court otherwise provides, the amendment filing of an amended of a brief or Petition has no effect on any filing deadlines.

## • • •

## Rule 49. Filing Of Appendices

- **A. Time for Filing.** The appellant shall file its Appendix with its on or before the date on which the appellant's brief is filed. The appellee shall file its Appendix, if any, with its appellee's brief. Any party may file a supplemental Appendix without leave of court until the final reply brief is filed. If an appeal is dismissed before an Appendix has been filed and transfer or rehearing is thereafter sought, an Appendix may be filed contemporaneously with the Petition for Rehearing or Transfer and the Briefs in Response.
- **B.** Failure to Include Item. Any party's failure to include any item in an Appendix shall not waive any issue or argument.

## Rule 51. Form And Assembly Of Appendices

- **A. Copying.** For conventionally filed appendices, tThe copies shall be on 8 1/2 by 11 inch white paper of a weight normally used in printing and typing. The copying process used shall produce text in a distinct black image on only one side of the paper. The left margin shall be wide enough to permit the text to be read after binding. Color copies of exhibits that were originally in color are permitted and encouraged.
- **B.** Order of Documents. Documents included in an Appendix shall be arranged in the order listed in Rule 50.

- C. Numbering. All pages Each Appendix volume of the Appendix shall be independently and consecutively numbered at the bottom without obscuring the page numbers existing on the original documents. eonsecutively, Each volume shall begin with numeral one on its front page without obscuring the Transcript page numbers, regardless of the number of volumes the Appendix requires.
- **D. Volumes.** All Appendices shall be <u>submitted bound</u> separately from the brief. <u>An Appendix may consist of multiple volumes, and each Appendix volume may not exceed either No more than two hundred fifty (250) PDF or PDF/A pages or 20 megabytes. The front page shall be included in the two hundred fifty (250) page limit of this rule. Conventionally filed <u>Each volumes</u> shall be bound <u>with a single staple or binder clip. They shall not along the left margin. The document shall be bound</u> be bound in book or pamphlet form. <u>Each volume shall contain a table of contents for the entire Appendix.</u></u>
- **E.** Front Page Cover. Each volume of an separately bound. Appendix shall have a front and back cover. Each cover of a separately bound Appendix shall be the same color as the brief filed by that party, and the front cover shall sate the name of the party submitting the appendix and the brief with which it is submitted, if any. The front cover page that shall conforms substantially to Form #App.R. 51-1.
- **F. Table of Contents.** An Appendix shall contain a single table of contents for the entire Appendix, regardless of the number of volumes, which shall be submitted as its own separate Appendix volume.

. . .

## Rule 63. Review of Tax Court Decisions

- **A.** Review of Final Judgment or Final Disposition. Any party adversely affected by a Final Judgment of the Tax Court as defined by Rule 2(H), or a final disposition by the Tax Court of an appeal from a court of probate jurisdiction, shall have a right to petition the Supreme Court for review of the Final Judgment or final disposition.
- **B.** Rehearing. Any party adversely affected by a Final Judgment or final disposition may file a Petition for Rehearing with the Tax Court, not a Motion to Correct Error. Rehearings from a Final Judgment or final disposition of the Tax Court shall be governed by Rule 54. A Petition for Rehearing need not be filed in order to seek Review, but when a Petition for Rehearing is used, a ruling or order by the Tax Court granting or denying the same shall be deemed a final decision and 1 Review may be sought.
- **C. Notice of Intent to Petition for Review.** A party initiates a petition for review by <u>conventionally</u> filing a Notice of Intent to Petition for Review with the Clerk in accordance with requirements of Rule 9 (except with respect to the filing fee) no later than:
  - (1) thirty (30) days after the date of entry in the court's docket of the Final Judgment or final disposition if a Petition for Rehearing was not sought; or
  - (2) thirty (30) days after the date of entry in the court's docket of the final disposition of the Petition for Rehearing if rehearing was sought and such Petition was timely filed by any party.

Rule 25(C), which provides a three-day extension for service by mail or third-party commercial carrier, does not extend the due date for filing a Notice of Intent to Petition for Review, and no extension of time shall be granted.

• •

## Rule 64. Certified Questions Of State Law From Federal Courts

- **A. Applicability.** The United States Supreme Court, any federal circuit court of appeals, or any federal district court may certify a question of Indiana law to the Supreme Court when it appears to the federal court that a proceeding presents an issue of state law that is determinative of the case and on which there is no clear controlling Indiana precedent.
- **B.** Procedure. The federal court shall certify the question of Indiana law and transmit the following to the Clerk:
  - (1) a copy of the certification of the question;
  - (2) a copy of the case docket, including the names of the parties and their counsel; and
  - (3) appropriate supporting materials.

Federal courts certifying questions to the Supreme Court are exempt from the requirements of Rule 68(C)(1); however, federal courts wishing to submit certified questions and attendant materials electronically rather than conventionally may contact the Clerk. The Supreme Court will then issue an order either accepting or refusing the question. If accepted, the Supreme Court may establish by order a briefing schedule on the certified question.

## Rule 68. Electronic Filing and Electronic Service

**A.** User Agreement Required. Every User must execute a User Agreement with one or more Electronic Filing Service Provider(s) before that User may utilize the IEFS.

#### **B.** [Reserved]

## C. Electronic Filing of Documents.

- (1) Unless otherwise permitted by these rules, all documents submitted for filing in the Indiana Supreme Court or Court of Appeals by an attorney must be filed electronically using the IEFS. The E-Filing of documents shall be controlled by the case number in the IEFS designated by the User.
- (2) Attorneys who wish to be exempted from the requirement that they file electronically may file a motion for electronic filing exemption. The motion must be filed in each pending case to which these rules are applicable. The motion will be granted only upon a showing of good cause.
- **D. Proof of Filing.** Users should print or otherwise save each Notice of Electronic Filing as proof of E-Filing. Confirmation of E-Filing may also be made by referring to the Chronological Case Summary of the court in which the case is pending through the Case Management System of that court.
- **E.** Conventionally Filed Documents. Conventionally filed documents must be entered into the Case Management System by the Clerk. If the original documents cannot be converted into a legible electronic document, then the originals must be placed into the case file and that action must be noted in the Chronological Case Summary. The filer must also conventionally serve these documents in accordance with these Rules.

#### F. Service.

- (1) Service on Public Service Contact. Registered Users must serve all documents in a case upon every other party who is a Public Service Contact through E-Service using the IEFS. E-Service has the same legal effect as service of an original paper document. E-Service of a document through the IEFS is deemed complete upon transmission, as confirmed by the Notice of Electronic Filing associated with the document. Exempt parties must serve all documents in a case as provided by these Rules.
- (2) Service on Others. Service of documents on attorneys of record or on unrepresented parties who are not Public Service Contacts must be as provided by these Rules.

#### G. Format Requirements.

- (1) Documents filed electronically must be formatted in conformity with these Rules and the requirements of the <u>IEFS.</u>
- (2) All documents must be submitted in the manner required by the EFSP. The IEFS may be accessed via any Internet connection available to the Registered User and at Public Access Terminals located in the office of the Clerk or the office of a county clerk.

#### H. Signature.

- (1) All documents electronically filed that require a signature must include a person's signature using one of the following methods:
  - (a) a graphic image of a handwritten signature, including an actual signature on a scanned document; or
  - (b) the indicator "/s/" followed by the person's name.
- (2) A document that is signed and E-Filed must be subject to the terms and provisions of Appellate 23(E). A Registered User may include the Signature of other attorneys in documents E-Filed with the court but in doing so represents to the court that any such Signature is authorized.
- I. Time and Effect. Subject to payment of all applicable fees, a document is considered E-Filed on the date and time reflected in the Notice of Electronic Filing associated with the document. E-Filing must be completed before midnight to be considered filed that day, and compliance with filing deadlines is determined in accordance with the time zone in the location of the court where the case is pending. E-Filing under these rules shall be available 24 hours a day, except for times of required maintenance.
- **J. Official Court Record.** The electronic version of a document filed with or generated by the Court under this rule is an official court record.

#### K. [Reserved]

L. Certain Court Records Excluded From Public Access. With respect to documents filed in electronic format, the Court may, by rule, provide for compliance with this rule in a manner that separates and protects access to Court Records excluded from Public Access.

#### M. Inability to E-File.

- (1) Indiana E-Filing System Failures.
  - (a) The rights of the parties shall not be affected by an IEFS failure.
  - (b) When E-Filing is prevented by an IEFS failure, a User or party may revert to conventional filing.
  - (c) With the exception of deadlines that by law cannot be extended, when E-Filing is prevented by an IEFS failure, the time allowed for the filing of any document otherwise due at the time of the IEFS failure must be extended by one day for each day on which such failure occurs, unless otherwise ordered by the Court.
  - (d) Upon motion and a showing of an IEFS failure the Court must enter an order permitting the document to be considered timely filed and may modify responsive deadlines accordingly.
- (2) Other Failures Not Caused by the User who was Adversely Affected. When E-Filing is prevented by any other circumstance not caused by the User who was adversely affected, the User may bring such circumstances to the attention of the Court and request relief as provided in Appellate Rule 35, or the User may revert to conventional filing.

. .

Attorney # \_\_

## SAMPLE FORMS Form App. R. 9-1 Notice of Appeal

IN THE INDIANA [SUPREME COURT/COURT OF APPEALS/TAX COURT] CAUSE NO. \_\_\_\_\_ NAME, [Appellant/Petitioner], [Appeal or Petition] from the ([Plaintiff/Defendant/Claimant/ Court or Administrative Respondent below]), Agency] Trial Court [or Administrative v. Agency number] Case No.:\_\_\_\_\_ NAME, The Honorable \_\_\_\_\_\_, [Appellee/Respondent], Judge. ([Plaintiff/Defendant/Claimant/ Respondent below]). NOTICE OF APPEAL [insert whether this is an "expedited" appeal under App. R. 14.1] (Appearance) **Party Information** Name: Address: The following party information *only if not represented by an attorney*: Tel. No.:\_\_\_\_\_ Fax No.:\_\_\_\_ E-Mail: Requesting service of orders and opinions of the Court by: ☐ E-mail ☐ FAX or ☐ U.S. Mail (choose one) In forma pauperis:  $\square$  Yes  $\square$  No Attorney(s) representing party filing Notice of Appeal, if any:

Address:		
Tel No:	Fax No.:	
E-Mail:		
Name:		
Attorney #		
Address:		
Tel No ·	Fax No.:	
E-Mail:		
Attorney #		
Address:		
Tel No ·	Fax No.:	
E-Mail:	rax no	
2 1/1411.		
Name:		
Attorney #		
Address:		
Tol No.	Fax No.:	
specified and (c) understa see Ind.	eledges that all orders, opinions, and notices in this matter will be sent to the attorney at the emd by the attorney on the Roll of Attorneys regardless of the contact information listed above for ands that he/she is solely responsible for keeping his/her Roll of Attorneys contact information. Admis. Disc. R. 2(A).  The review and update their Roll of Attorneys contact information on the Clerk of Indiana Collerk.in.gov.	or the attorney; ation accurate,
Date of Judg Title of Judg Date Motion If case was h Basis for App App	gment/Order being appealed: gment/Order being appealed: gment/Order being appealed: gment/Order being appealed: n to Correct Error denied \_ or deemed denied \_ , if applicable: heard by a magistrate, date trial judge approved judgment or order: bpellate Jurisdiction: beal from a Final Judgment, as defined by Appellate Rule 2(H) and 9(I) beal from an interlocutory order, taken as of right pursuant to Appellate Rule 14(A),(C),(D) beal from an interlocutory order, accepted by discretion pursuant to Appellate Rule 14(B)(3)	
☐ Exp This appeal ☐ Cou	will be taken to: art of Appeals of Indiana, pursuant to Appellate Rule 14.1 will be taken to: art of Appeals of Indiana, pursuant to Appellate Rule 5 iana Supreme Court, pursuant to Appellate Rule 4 This is an appeal in which a sentence of death or life imprisonment without parole is in Ind. Code § 35-50-2-9 or a post conviction relief case in which the sentence was death This is an interlocutory appeal authorized under Rule 14 involving the death penalty or parole case raising a question of interpretation of Ind. Code § 35-50-2-9 This is an appeal from an order declaring a statute unconstitutional This is an appeal involving a waiver of parental consent to abortion under Rule 62	_

Trial Court Clerk/Administrative Agency/Court Reporter Instructions

Pursuant to Appellate Rule 10 or 14.1(C), the clerk of [insert name of trial court or Administrative Agency] is requested to assemble the Clerk's Record, as defined in Appellate Rule 2(E).

	y, and file with th , including	ne clerk of the [insert nar	ne of trial court or Administrative Agency]
Public Access  Was the entire trial court or age:	ncy record sealed	l or excluded from public	c access?
☐ Yes ☐ No Was a portion of the trial court of	•	•	
☐ Yes ☐ No If yes, which provision in Admir	nistrative Rule 9(	G) provides the basis for	this exclusion:
If Administrative Rule 9(G) provaccordance with the requirement ☐ Yes ☐ No			trial court or agency order issued in
<b>Appellate Alternative Dispute F</b>	Resolution		
If civil case, is Appellant willing	to participate in	Appellate Dispute Resolu	ution?
$\square$ Yes $\square$ No If yes, provide a brief statement	of the facts of the	e case. (Attach additiona	al pages as needed.)
Attachments			
The following SHALL be attached Copy of judgment or ord			:
The following SHALL be attached	ed to this Notice o	of Appeal if applicable (c	
Copy of the trial court o Copy of the sentencing of		Agency's findings and coll cases)	onclusion (in civil cases)
Order denying Motion t	o Correct Error o	or, if deemed denied, cop	y of Motion to Correct Error
	ntries relating to	the trial court or agency	's decision to seal or exclude information
from public access  If proceeding pursuant	to Appellate Rule	e 14(B)(3), copy of Order	from Court of Appeals accepting jurisdiction
over interlocutory appea	al		
☐ The documents required <b>Certification</b>	d by Rule 40(C), i	if proceeding <i>in forma p</i>	auperis
By signing below, I certify that:			
(1) This case □ does □ do	ermination that a	a child is in need of servi	ssues of child custody, support, visitation, ces, termination of parental rights; or an
			the requirements of Appellate Rule 9(J) and
Administrative Rule 9(0 (3) I will make satisfactory required by Appellate R	payment arrange		ts ordered in this Notice of Appeal, as
		Respectfully submit	ted,
		[Insert Name of Atto	orney or <i>pro se</i> party]
	Address		
		Telephone number	
		Attorney Number (i	f represented by counsel)
	<u>CERTIFICAT</u>	E OF FILING AND SE	ERVICE
I hereby certify that on this the Indiana Supreme Court, Court o	day of f Appeals, and Ta		, the foregoing was filed with the Clerk of
I also certify that on this means of service] upon:	day of	, 20	_, the foregoing was served by [insert specific

[list names and addresses of:

- (1) counsel of record in the trial court/administrative agency;
- (2) the trial court clerk/administrative agency clerk;
- (3) the parties served as required by Appellate Rule 14.1(B)(2) and (4) (if applicable);
- (4) the court reporter;
- (5) the Attorney General, if applicable under Rule 9(A)(3);
- (6) the judge of the trial court or hearing officer of an Administrative Agency before whom the case was heard; and,
- (7) any other persons required by statute.]

[Signature]		

## Form App. R. 11-5

## IN THE INDIANA

## [SUPREME COURT/COURT OF APPEALS/TAX COURT]

<u>CAUSE</u> 1	NO.		
	)		
Appellant/Petitioner,	J		
([Plaintiff/Defendant/Claimant	<u>)</u>	[Appeal or Petition] from	the
Respondent] below),	)	Court or Ac	lministrative Agency
	)		
<u>v.</u>	)_	Trial Court [or Administr	ative Agency
	)_	number] Case No.:	
	J		
Appellee/Respondent,	)_	<u>The Honorable</u>	<u>, Judge</u>
([Plaintiff/Defendant/Claimant	)		
Respondent] below),	)		
of Confide	Notice of E	xclusion ion from Public Access	
Contemporaneous with the fil	ling of this notice	e, [party name] has filed con	fidential information in
accordance with Administrative Rule	9(G) and Appell	ate Rule 23(F)(3). Pursuant	to Administrative Rule
9(G)(5)(a)(i) and Appellate Rule 23(1	F)(3)(a)(i), [part	y name], provides this notic	ce that the confidential
information is to remain excluded from	m public access i	n accordance with the author	rity listed below:
Name or description of docume containing confidential information	<u>ent</u>	Administrative R which exclusion i	ule 9(G) grounds upon s authorized
[List here]		9(G)(3)(b) provid	G)(2)(a), 9(G)(2)(b) or des the basis for ust also list the specific ule declaring the
	<u>Resp</u> e	ectfully submitted,	
	[Sign	ature]	

## **CERTIFICATE OF SERVICE**

	I certify that on this	day of	, 20	, the foregoing was served
<u>up</u>	on the following by [state meth	od of service]:		
	∏ist na	ames and addresses of	counsel of record]	
		[Signa	<u>ture]</u>	

## Form App. R. 11-6

## IN THE INDIANA

## [SUPREME COURT/COURT OF APPEALS/TAX COURT]

CAUSE NO.			
	)		
Appellant/Petitioner,	)		
([Plaintiff/Defendant/Claimant	)	Appeal or Petition] from t	<u>the</u>
Respondent] below),	)	Court or Ad	ministrative Agency
	)		
<u>v.</u>	)	Γrial Court [or Administra	tive Agency
	) 1	number] Case No.:	
<u>,</u>	)		
Appellee/Respondent,	)	<u>Γhe Honorable</u>	<u>, Judge</u>
([Plaintiff/Defendant/Claimant	)		
Respondent] below),	<u>)</u>		
		dential Information  Disposition Of The Case	<u>e</u>
Contemporaneous with the filing of	of this notice, [Ţ	party name] has redacted o	or omitted confidential
information in accordance with Adm	ninistrative Ru	de 9(G). Pursuant to	Administrative Rule
9(G)(5)(b)(ii)(a) and Appellate Rule 23(F)	(3)(b)(ii)(a), [p	arty name] provides this n	notice that the redacted
or omitted confidential information "is no	ot necessary to	the disposition of the cas	e" and, therefore, "the
excluded Court Record need not be filed	or tendered in	any form and only the P	ublic Access version is
required."			
Name or description of document containing confidential information		Administrative Ru which exclusion is	ule 9(G) grounds upon authorized.
[List here]		9(G)(3)(b) provid	es the basis for st also list the specific ale declaring the
	Respectf	ully submitted,	
	Signatu	re]	

## **CERTIFICATE OF SERVICE**

I certify that on this	day of	, 20 , the foregoing was served
upon the following by [state method	d of service]:	
[list nam	nes and addresse	s of counsel of record]
	Si	gnature]
	Form # .	App.R. 16-1
IN THE INDIANA [S	UPREME COUF	RT/COURT OF APPEALS/TAX COURT]
CAUS	E NO	
NAME,	) [A <sub>]</sub>	opeal or Petition] from the [ Court or
[Appellant/Petitioner],	) Ad	ministrative Agency]
([Plaintiff/Defendant/ Claimant/Respondent	)	
below]),	) ) Tri	al Court [or Administrative Agency number]
2010.1177		se No.:
V.	)	
27.25	)	** 11
NAME, [Appellee/Respondent],		e Honorable, dge.
([Plaintiff/Defendant/	) 34	uge.
Claimant/Respondent	)	
below]).	)	
I. Party Information	APPE	ARANCE
Name:		
The following party information only <i>if</i>	_	
Tel. No.: Fax No.: E-Mail:		
Requesting service of orders and opinio	ns of the Court b	y:
☐ E-mail ☐ FAX or ☐ U	J.S. Mail (choose	e one)
In forma pauperis: ☐ Yes ☐ No		
II. Attorney Information (if party rep	resented by at	torney):
Attorney Name:		
Indiana Attorney #:		_
Address:		

T 3.6 '1	Fax No.:	
Attornov Nomo		
	Fax No.:	
Attorney Name:		
A 1 1		
	Fax No.:	
E-Maii.		_
4.1.1		
-	Fax No.:	
	Tax No	
address(es) specified above for the attorne (c) understands that he/s current and accurate, Attorneys can review and up	by the attorney on the Roll of Attorney; and she is solely responsible for keeping see Ind. Admis. Disc. R. 2(A). odate their Roll of Attorneys contact in E.	matter will be sent to the attorney at the email eys regardless of the contact information listed his/her Roll of Attorneys contact information aformation on the Clerk of Indiana Courts Portal
	willing to participate in Appellate ADR.	
	Respectfully submitted,	
	Signed:	
	[Insert Name of Attorney of	
	Telephone number:	
	Attorney Number (if applicable):	
	CERTIFICATE OF SERVICE	
I hereby certify that on this	dovref	, the foregoing was served upon the following

[List names and address of:
(1) counsel of record or pro se party;
(2) Attorney General, if applicable]
[Signature]